PARTICIPATORY BUDGETING IN KENYA

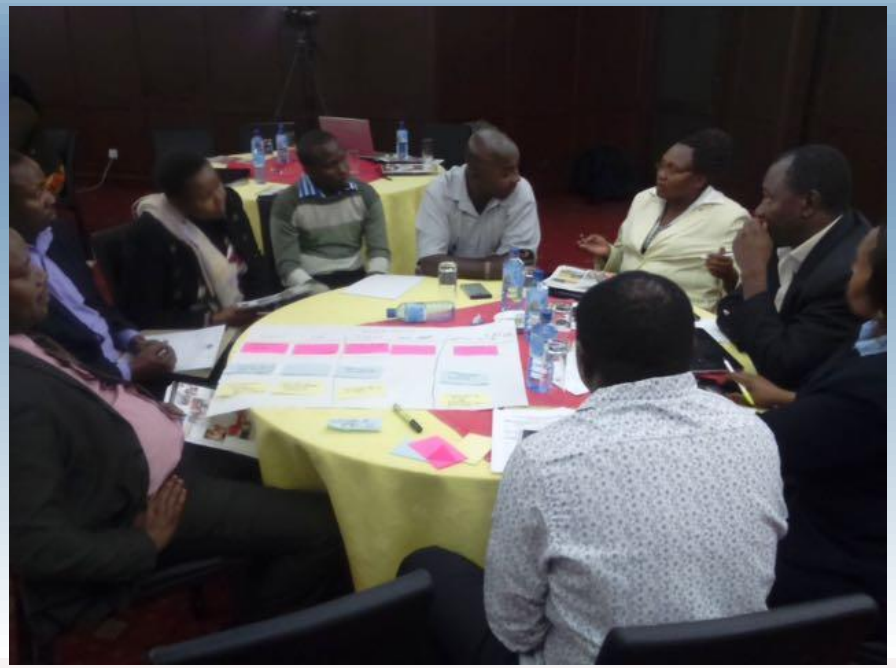
Emerging process and results from 2 counties

1

2

Process began with a sensitization workshop on PB, held at the Kenya School of Government (Oct2015).

1. Principal secretary MODP addressing participants at the workshop. 42 counties attended workshop
2. County officials from Bungoma, Kiambu, Makueni and Uasin Gishu shared their experiences.
3. Through self-selection criteria, 6 counties qualified to receive further training in implementing PB.



Politicians

- Closeness to the people
- Election pledges fulfiled
- Increased approval rating by the public
- Improved communication
- Re-election

Goverment Officials

- Implementing Development Projects
- Generating reports
- Evaluating social and economic impact
- Government closer to the people
- Credibility of government

Community/Public

- Voice heard
- Empowerment
- Economic and Social benefits of the projects (employment, services)
- Sense of control and ownership

Benefits of Participatory Budgeting to Key Actors

THE TEN STEP PB PROCESS

- STEP 1: Choosing the general strategy
- STEP 2: Preparation of the organizational model
- STEP 3: Develop informational materials and mobilization of citizens
- STEP 4: First public meetings
- STEP 5: Technical Evaluation of proposals
- STEP 6: Publishing of final list of PB proposals to be submitted to public vote
- STEP 7: Voting period or voting day
- STEP 8: Creation of spin-off or Voluntary Working Groups on the winning proposal(s)
  to better detail the project(s)
- STEP 9: Approval of budget, and announcement of the formal ratification and inclusion of the project(s)/proposals in the Public Budget for the next year and evaluation of the pilot and (eventual) re-writing of rules for next year
- STEP 10: Monitoring of the implementation of proposals

Participatory Budgeting in Makueni County

MODEL OF PARTICIPATION

- Training of ward administrators & public participation interlocutors
- Civic education

County People's Forum -(1,000 delegates)

Sub -County People's Forum (to elect a development committee of 11)

Ward People's Forum - Its development committee to have 11members

Sub-Ward People's Forum - 60 Units. (To elect a development committee of 11)

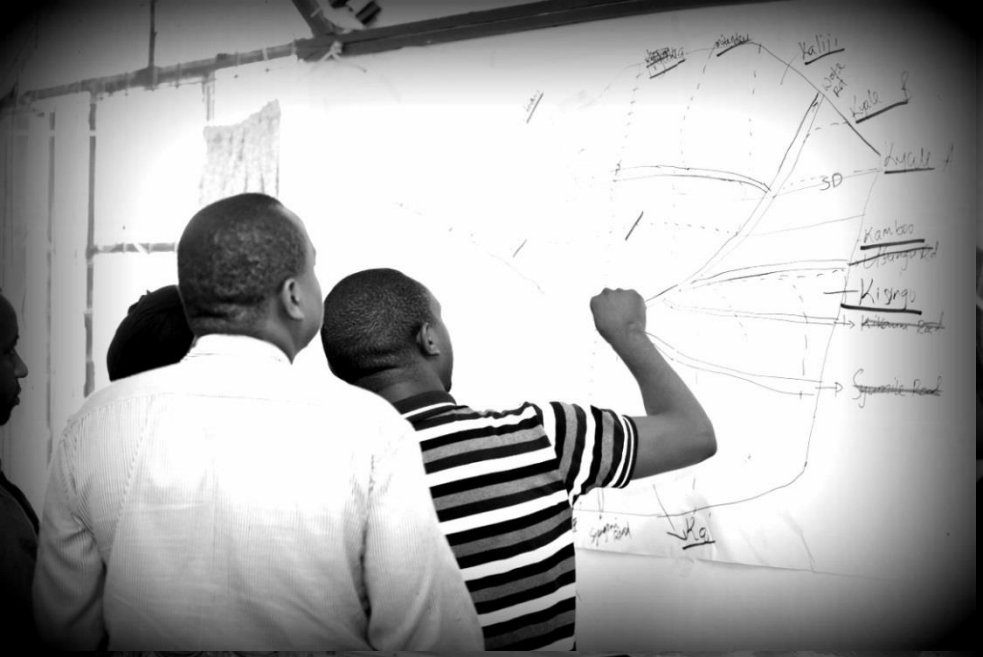
Village Cluster People's Forum - 315 units. (Each to elect a development committee with 11 members from each village)

Village People's Forum - 3159 units. (Each to elect a development committee of 11 members)

MODEL OF PARTICIPATION

No.	Level	No. of Meetings	Dates	Details of Activities	Population* Targeted	Dev Committees Elected
1	Village Meetings	Total Villages **3455**	10th to 12th February 2016	1. Identify development and service delivery challenges and issues. 2. Identify and prioritization of development projects. 3. Election of village development committees. (11 Persons – across the social divide)	**345,500 (3,455x100)**	**38,005**
2	Village Clusters	Total Village Clusters **315**	13th - 15th February 2016	1. Prioritization of development projects – (Prioritizing the projects from the village levels) 2. Election of Village cluster development committees (11 Persons)	**37,800 (120x315)**	**3,465**
3	Sub Wards	Total Sub Wards **60**	16th – 17th February 2016	1. Highlighting the main economic activities in the sub-ward. 2. Identifying existing development projects (funded by County, National government other development partners) 3. Resource mapping identifying the distribution of social amenities in the respective areas (Water, Health, Education and Environment Issues) 4. Prioritization of development projects – (based on list of projects from the Village Clusters) 5. Election of Sub Ward development committees (11 Persons). The committee include 20 coopted organized groups and special interest representatives.	**7,200 (120x60)**	**660**
4	Wards	Total Wards – **30**	10th – 11th March 2016	1. Mapping of all development projects (On a map) 2. Identifying Ward specific economic activities and proposed strategic interventions geared towards boosting / improving the economic activities for sustained socio economic development. 3. Identifying the challenges affecting specific key interest groups (Youth, children, Women, Business community, PWDs, OVCs, Farmers, Cooperatives, Boda Boda) and proposing strategic interventions to counter the challenges/ to improve their wellbeing. 4. Prioritization of development projects – (Prioritizing the projects from the Sub Wards)	**3,600 (120x30)**	**330**

Introductions and confirmation of projects selected at sub-ward level
Selection of a meeting chair (citizen)
Identification of key issues affecting thematic stakeholders
Mapping
Deliberations and Prioritization of projects



PRIORITIES

MAKINDU SUB-WARD

1. Kamboo ECDE ~~training centre~~ Sialtani
2. Kai Borehole – Yimukamk
3. Kisingo ECDE Training College
4. KIU'A' Adult ~~learning Centre – Kitivuni~~ stadium (Near DCC Offices)
5. KIU'B' Dispensary – Boma H.
6. Mayatta – Deaf School – Ngukuni Pri.

KIBOKO/TWANDU SUB WARD

1. Yimwaa Dispensary – Upgrading
2. Kavete ~~Kangii Hulani Rd~~ Dispensary Maternity ward
3. Miangeni Earthdam
4. Mauluni Dispensary
5. Public toilets Kiboko Mkt
6. Kalii Dispensary Maternity

KILILI | KALAMBA WARD
SKETCH MAP
Kilungu ward.
Kawala location
Kilili location
Ikangavya location
Kalamba location
Kilili MKT
Kawala MKT
Ikangavya MKT
Upanalo MKT
Kamusi River
Nzau/wote ward.

County level forum

- Representatives from the wards organized per sub-county
- County officials led by the governor, County Secretary, CEC Finance
- Citizens Complaints desk
- Persons with disabilities have their say

PB IN WEST POKOT

GENERAL STRATEGY

	FY 2013/14	FY 2014/15	FY 2015/16	FY 2016/17
Total Development Budget	1.649B	1.84B	2.007B	1.796B
Ward Development Projects	0.00	0.00	560M	575M
Total Development Budget	1.64B	1.848B	2.007	1.796B
Total Budget	3.631B	4.273B	4.830B	4.712B

- PB applied to 32% of the development budget or 12% of entire county budget
- Values: Equity and redistributive justice: inclusivity (women); empowerment and ownership
- PB in the entire county at sub-location level.

ORGANIZATIONAL MODEL

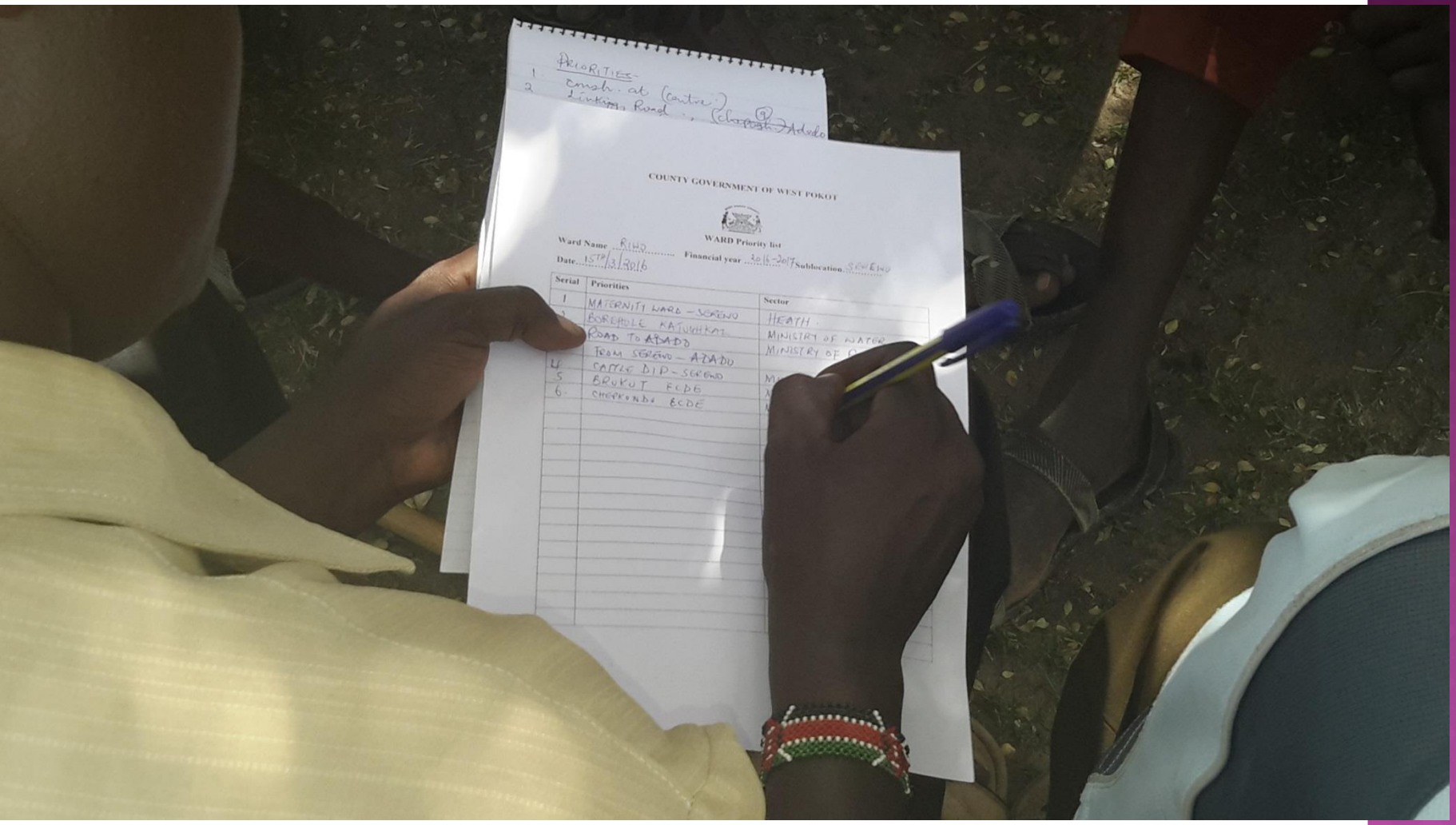
- Sensitization of the County Assembly for Political buy in and support
- Training of Ward level Administrators, MCAs, and Ward Managers
- Increased outreach from ward to sub-location level
- Prioritization of projects
- Active participation of women
- Technical evaluation & costing of projects to inform ward priorities

INFORMATIONAL MATERIAL & MOBILIZATION

Levels	Female	Percentage to total	Male	Percentage to total	Total No. of Participants
Wards	37	11%	312	89%	349
Sub-location	4010	35%	7539	65%	11,549

- Local radio – vernacular station
- Local and political leaders – MCAs, local administration, ward administrators
- Public notices
- Letters of invitation to civil society
- Newspaper advert
- (New) voice messaging

PROCESS OF ENGAGING CITIZENS



Opening remarks from local leaders (MCAs, chiefs,)
Overview of the projects (MCA/County representative)
Ward administrator provides guidelines for meeting
Discussion of needs of the area and generation of priority list
Selection of top three priorities
Voting for venue witness

VOTING PROCESS IN SIMOTWO

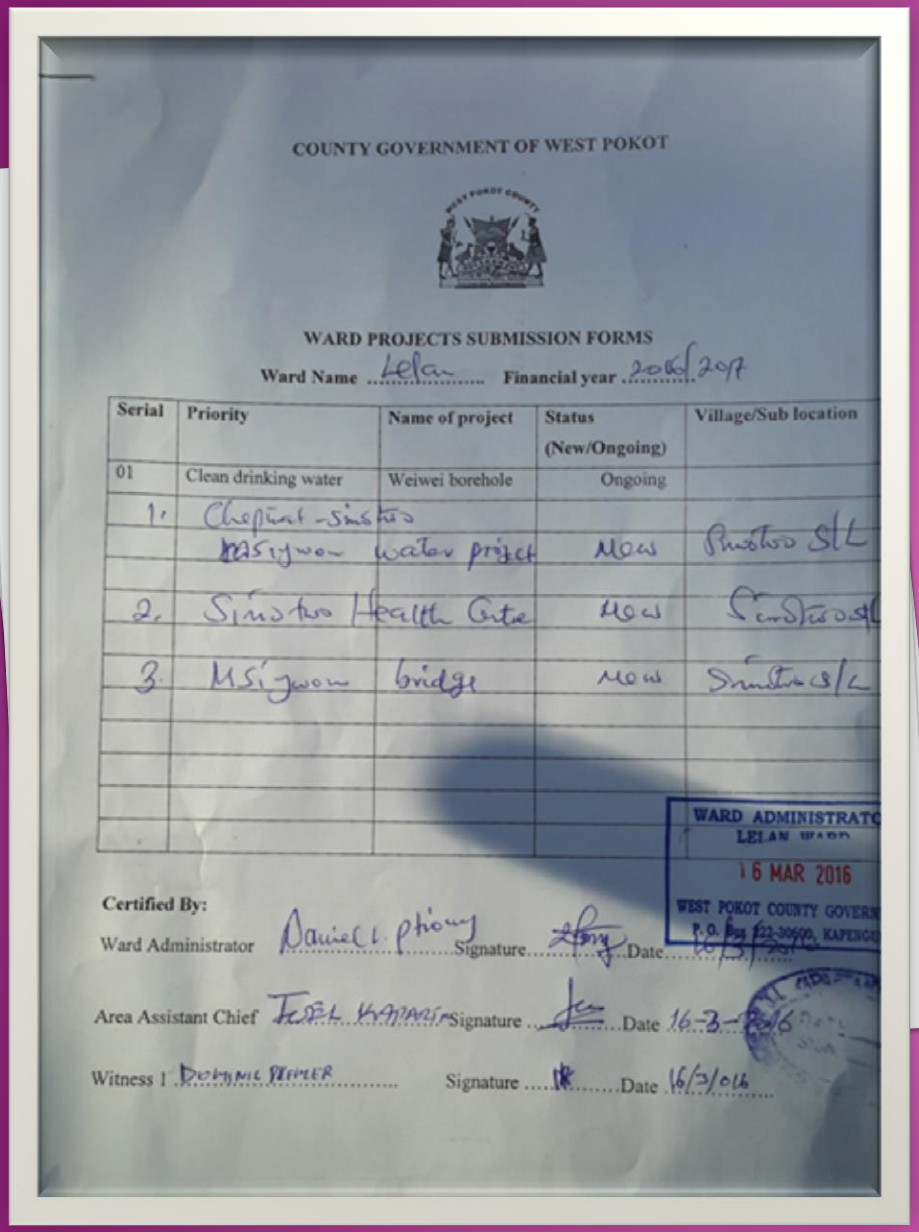
1. Community members vote between two projects after deliberations
2. Those who voted asked to step aside to ensure a transparent voting process
3. Participants who opted for the 2nd project remain seated

PARTICIPATION OF WOMEN

PARTICIPATION IN CONSERVATIVE REGIONS

In more remote localities, the women still kept to the sidelines. They endorsed the priorities selected by the men (Chepturguny, Chepararia)

In another locality (Chepkram, Riwo) while they sat aside, the women contributed to the first two priorities.



COUNTY GOVERNMENT OF WEST POKOT

WARD PROJECTS SUBMISSION FORMS

Ward Name Lelan Financial year 2016/2017

Serial	Priority	Name of project	Status (New/Ongoing)	Village/Sub location
01	Clean drinking water	Weiwei borehole	Ongoing	
1.	Chepiat-Sinatwo			
	Masigwon	water project	New	Sinatwo S/L
2.	Sinatwo	Health Gate	New	Sinatwo S/L
3.	Masigwon	bridge	New	Sinatwo S/L

WARD ADMINISTRATOR LELAN WARD 16 MAR 2016 WEST POKOT COUNTY GOVERN P.O. Box 22-30600, KAPENGU

Certified By:

Ward Administrator Daniel Ptiony Signature Date 16/3/2016

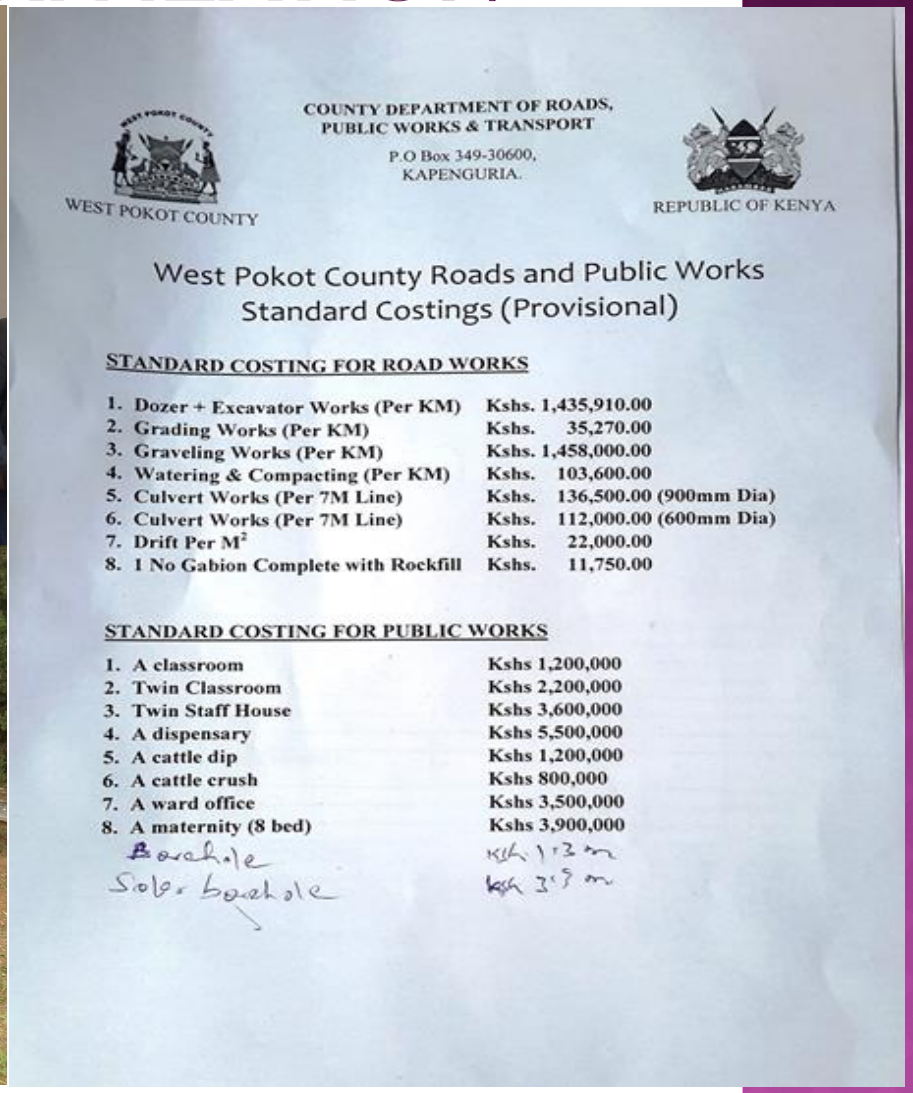
Area Assistant Chief Joel Kamais Signature Date 16-3-2016

Witness 1 Dominic Remer Signature Date 16/3/016

LIST OF PRIORITIES SUBMITTED FROM SUB-LOCATION LEVEL

The Sub-location list is signed by the ward administrator, the chief and the venue witness and receives the county official seal.

WARD LEVEL PRIORITIZATION



COUNTY DEPARTMENT OF ROADS,
PUBLIC WORKS & TRANSPORT

P.O Box 349-30600,
KAPENGURIA.

WEST POKOT COUNTY

REPUBLIC OF KENYA

West Pokot County Roads and Public Works
Standard Costings (Provisional)

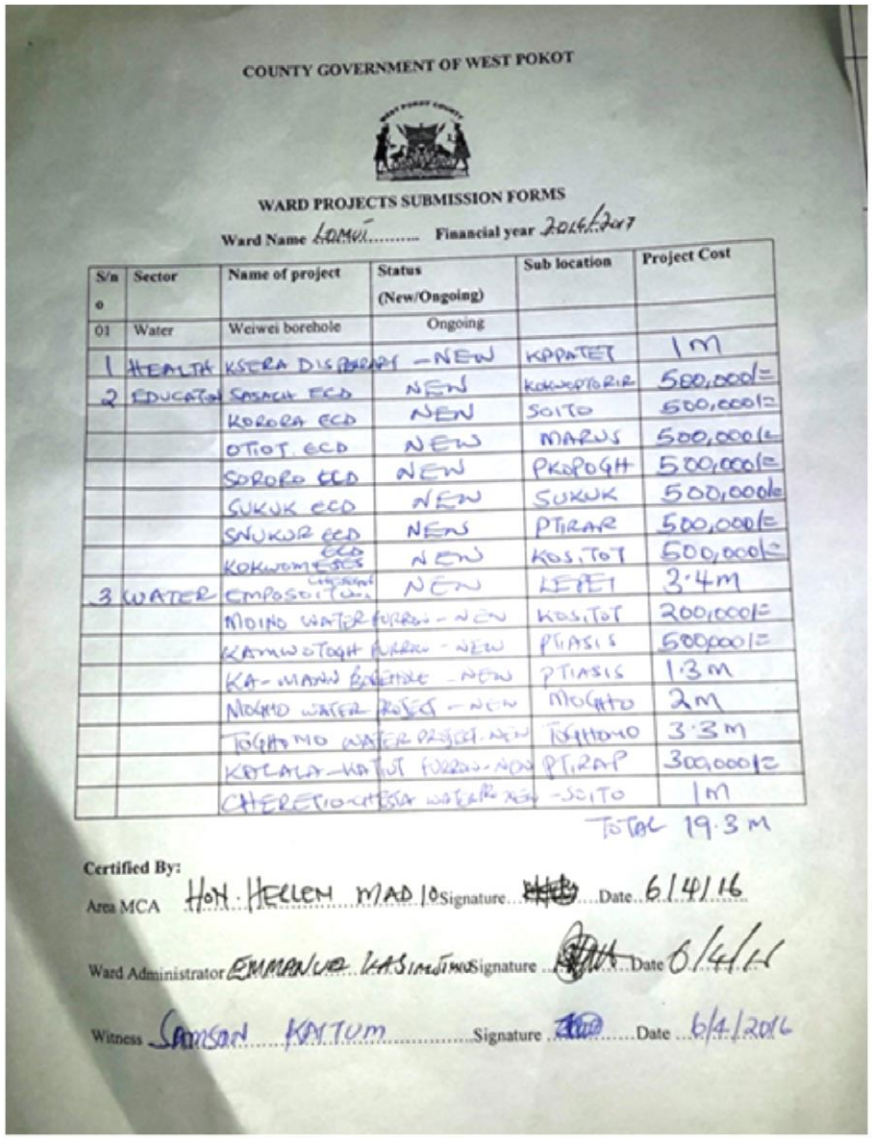
STANDARD COSTING FOR ROAD WORKS

1. Dozer + Excavator Works (Per KM)	Kshs.	1,435,910.00
2. Grading Works (Per KM)	Kshs.	35,270.00
3. Graveling Works (Per KM)	Kshs.	1,458,000.00
4. Watering & Compacting (Per KM)	Kshs.	103,600.00
5. Culvert Works (Per 7M Line)	Kshs.	136,500.00 (900mm Dia)
6. Culvert Works (Per 7M Line)	Kshs.	112,000.00 (600mm Dia)
7. Drift Per M^2	Kshs.	22,000.00
8. 1 No Gabion Complete with Rockfill	Kshs.	11,750.00

STANDARD COSTING FOR PUBLIC WORKS

1. A classroom	Kshs 1,200,000
2. Twin Classroom	Kshs 2,200,000
3. Twin Staff House	Kshs 3,600,000
4. A dispensary	Kshs 5,500,000
5. A cattle dip	Kshs 1,200,000
6. A cattle crush	Kshs 800,000
7. A ward office	Kshs 3,500,000
8. A maternity (8 bed)	Kshs 3,900,000
Borehole	Ksh 1.3 m
Solar borehole	Ksh 3.9 m

Ward representatives meet at sub-county level
Selection of final project list guided by ceiling of 22 million



COUNTY GOVERNMENT OF WEST POKOT

WARD PROJECTS SUBMISSION FORMS

Ward Name LOMUT..... Financial year 2016/2017

S/no	Sector	Name of project	Status (New/Ongoing)	Sub location	Project Cost
01	Water	Weiwei borehole	Ongoing		
1	HEALTH	KSERA DISPENSARY	-NEW	KAPATET	1M
2	EDUCATION	SASACH ECD	NEW	KOKWOTORIR	500,000/=
		KORORA ECD	NEW	SOITO	500,000/=
		OTIOT ECD	NEW	MARUS	500,000/=
		SORORO ECD	NEW	PKOPOGH	500,000/=
		SUKUK ECD	NEW	SUKUK	500,000/=
		SNUKOR ECD	NEW	PTIRAR	500,000/=
		KOKWOMESES ECD	NEW	KOS.TOT	500,000/=
3	WATER	EMPOSOITOWI LOGANI	NEW	LEPET	3.4M
		MOIMO WATER PURPOSE	- NEW	KOS.TOT	200,000/=
		KAMWOTOGH PURPOSE	- NEW	PTIASIS	500,000/=
		KA-MANN BOREHOLE	- NEW	PTIASIS	1.3M
		MOGMO WATER PROJECT	- NEW	MOGHTO	2M
		TOGHMO WATER PROJECT	NEW	TOGHMO	3.3M
		KOTLALA-KATUT PURPOSE	- NEW	PTIRAP	300,000/=
		CHERETIO-MISSA WATER	NEW	-SOITO	1M
				TOTAL	19.3M

Certified By:

Area MCA HON. HELLEN MAD IO Signature ..... Date 6/4/16

Ward Administrator EMMANUEL KASIMUTMO Signature ..... Date 6/4/16

Witness SAMSON KATUM Signature ..... Date 6/4/2016

FINAL PROJECT LIST SUBMITTED TO COUNTY

Each project is costed to ensure the list is within the budget ceiling.

SOME EMERGING LESSONS

- Political commitment to public participation crucial
- Buy-in of bureaucrats and technocrats is key to effective participation; they must be persuaded and their capacity built on how to ensure smooth process
- Civic education a pre-requisite
- Citizen engagement that goes beyond decision making to implementation of projects enhances ownership and accountability